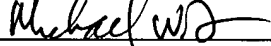


IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

APPLICANT(S): Baki Acikel, Troy R. Taylor and Robert A. York
APPLICATION NO.: 10/822,563
FILING DATE: April 8, 2004
TITLE: Fabrication of Parallel Plate Capacitors Using BST Thin Films
EXAMINER: Not yet known
GROUP ART UNIT: 2812
ATTY. DKT. NO.: 22994-07841

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated: AUG. 18, 2004 By: 
Michael W. Farn, Reg. No. 41,015

COMMISSIONER FOR PATENTS
OFFICE OF INITIAL PATENT EXAMINATION
CUSTOMER SERVICE CENTER
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

SIR:

Enclosed is a copy of the Official Filing Receipt, which has been marked to show the following error:

One of the **Applicant(s)** is erroneously stated as Troy A. Taylor, Santa Barbara, CA. The correct name of the Applicant is Troy **R.** Taylor, Santa Barbara, CA, as evidenced by the Declaration filed herewith, a copy of which is attached.

Please issue a corrected Filing Receipt rectifying this error.

Respectfully submitted,
BAKI ACIKEL et al.

Dated:

AUG 18, 2004

By:

Michael W. Farn

Michael W. Farn, Reg. No. 41,015
FENWICK & WEST LLP
Silicon Valley Center
801 California Street
Mountain View, CA 94041
Tel: (650) 335-7823
Fax: (650) 938-5200



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/822,563	04/08/2004	2812	0.00	22994-07841	14	30	3

00758
FENWICK & WEST LLP
SILICON VALLEY CENTER
801 CALIFORNIA STREET
MOUNTAIN VIEW, CA 94041

RECEIVED

JUN 28 2004

FENWICK & WEST LLP

CONFIRMATION NO. 2612

FILING RECEIPT



OC000000013049873

Date Mailed: 06/24/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

R
Baki Acikel, Goleta, CA;
Troy A. Taylor, Santa Barbara, CA;
Robert A. York, Santa Barbara, CA;

Domestic Priority data as claimed by applicant

This application is a CIP of 10/765,578 01/26/2004
which is a CON of 10/144,185 05/10/2002 PAT 6,683,341
which claims benefit of 60/337,364 12/05/2001
This application 10/822,563
claims benefit of 60/462,594 04/11/2003

Foreign Applications

If Required, Foreign Filing License Granted: 06/23/2004

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Fabrication of parallel plate capacitors using BST thin films

Preliminary Class

257

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**DECLARATION (37 CFR 1.63) FOR
UTILITY OR DESIGN APPLICATION
USING AN APPLICATION DATA SHEET
(37 CFR 1.76)**

Application Number	10/822,563
Filing Date	April 8, 2004
First Named Inventor	Baki Acikel
Title	Fabrication of Parallel Plate Capacitors Using BST Thin Films
Group Art Unit	2812
Attorney Docket Number	22994-07841

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No. 10/822,563, filed on April 8, 2004,
☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

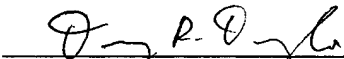
All statements made herein of my/our knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor one: Baki Acikel Citizen of: USA and Turkey

Signature:  Date: 7/20/04

Inventor two: Troy R. Taylor Citizen of: USA

Signature:  Date: 7/28/04

Inventor three: Robert A. York Citizen of: USA

Signature:  Date: 7/28/04

☐ Additional inventors are being named on _____ additional form(s) attached hereto.